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# A Parametric Approach for Smaller and Better Encodings of Cardinality Constraints

## CP 2013 - Uppsala

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- “Optimal” encodings for cardinality constraints
- Experimental results
- Concluding remarks

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- on real-world problems from many sources, with a
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BUT...

- Very low-level language: need modeling and encoding tools
- Sometimes no adequate/compact encodings: arithmetic...
- Answers “unsat” or model. Optimization not as well studied.

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**Assignment**  $A$  :

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**Clause set**  $F$  :

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More rules: Backjump, Learn, Forget, Restart [M-S,S,M,...]!

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**Backjump** =

- Conflict Analysis**: compute **backjump clause**  $C \vee l$  (here,  $\bar{2} \vee \bar{5}$ )
  - that is a logical consequence of  $F$ : can **Learn** it!
  - that reveals a unit propagation of  $l$  at earlier decision level  $d$  (i.e., where its part  $C$  is false)
- Return to decision level  $d$  and do the propagation.

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  - Backtrack is replaced by **Backjump**.
- Periodically, the solver **Restarts** [Gomes et al 1998].
- Also periodically, **Forget** non-active learned clauses [GN 2002].

# Encoding a constraint for SAT

---

Example: Cumulative resource constraints [Schutt Et al 2009 CP]:

- A number of tasks  $\{1, 2, \dots, n\}$  must be done.
- Tasks require some (limited) resources.
- Variable  $a_{i,t}$  means “task  $i$  is active at time  $t$ ”
- **Cardinality Constraint:**  
at every timepoint  $t$ , no more active tasks than machines:

$$a_{1,t} + a_{2,t} + \dots + a_{n,t} \leq 20$$

Naive (direct) encoding:  $\binom{n}{21}$  clauses of the form:

$$\bar{x}_1 \vee \dots \vee \bar{x}_{21}$$

# Encode it or build it in? (see next talk!)

---

“Build it in” = “Sat Modulo Theories” or “Lazy Clause Generation”:

- **Example:** building in a cardinality constraint  $x_i + \dots + x_n \leq K$
- A **propagator** watches it
- Each time the propagator detects  $K$  true variables in  $\{x_i, \dots, x_n\}$ , it can propagate the remaining ones to false
- To **explain** a propagation: clause of the form  $x_1 \wedge \dots \wedge x_K \rightarrow \bar{y}$  or equivalently  $\bar{x}_1 \vee \dots \vee \bar{x}_K \vee \bar{y}$
- Explanations are needed at least for conflict analysis
- But sometimes it is useful to **Learn** them
- Bad situation: end up **Learning** full (naive)  $\binom{n}{K}$  encoding: better to use a compact one with auxiliary variables

Encoding is many times better, especially for simpler constraints, such as Cardinality ones (see next talk).

# What's a good encoding for an instance?

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“Best encoding” = for **this** SAT solver on **this** instance.

But some criteria are usually desirable (for any constraint):

1. the encoding is correct and complete
2. **UnitPropagate** should preserve generalized arc consistency
3. small number of clauses needed
4. small number of auxiliary variables needed

Here, criteria 1 and 2 will always hold.

But is 3 more important or 4? Depends on solver and instance!

Therefore here we define a single encoding (a much more compact one) that really **optimizes** wrt. a cost function  $\lambda \cdot \#vars + \#clauses$ , where  $\lambda$  is decided by the user.

(can in fact optimize wrt. any efficiently computable function).

# Our encoding

---

- [miniSAT+] **Sorting network**,  $O(n \log^2 n)$  clauses and aux vars to sort  $(x_1 \dots x_n)$  into  $(y_1 \dots y_n)$ .
- To express  $x_i + \dots + x_n \leq K$ , add unit clause  $\bar{y}_{k+1}$ .
- For  $\dots \geq K$ , add  $y_k$ . For  $=$ , add both.
- [Asin et al 2011] only need  $(y_1 \dots y_k)$ : other recursive approach using  $O(n \log^2 K)$  clauses and aux vars.  
Large improvement since frequently  $n \gg K$ .

## This paper:

- For small inputs, the naive **direct** approach is frequently better.
- For large inputs, we should use the **recursive** approach.
- Idea: Use **recursive** until small enough for **direct**.
- **Dynamic programming** for **optimality** wrt.  $\lambda \cdot \#vars + \#clauses$



# Our encoding (II)

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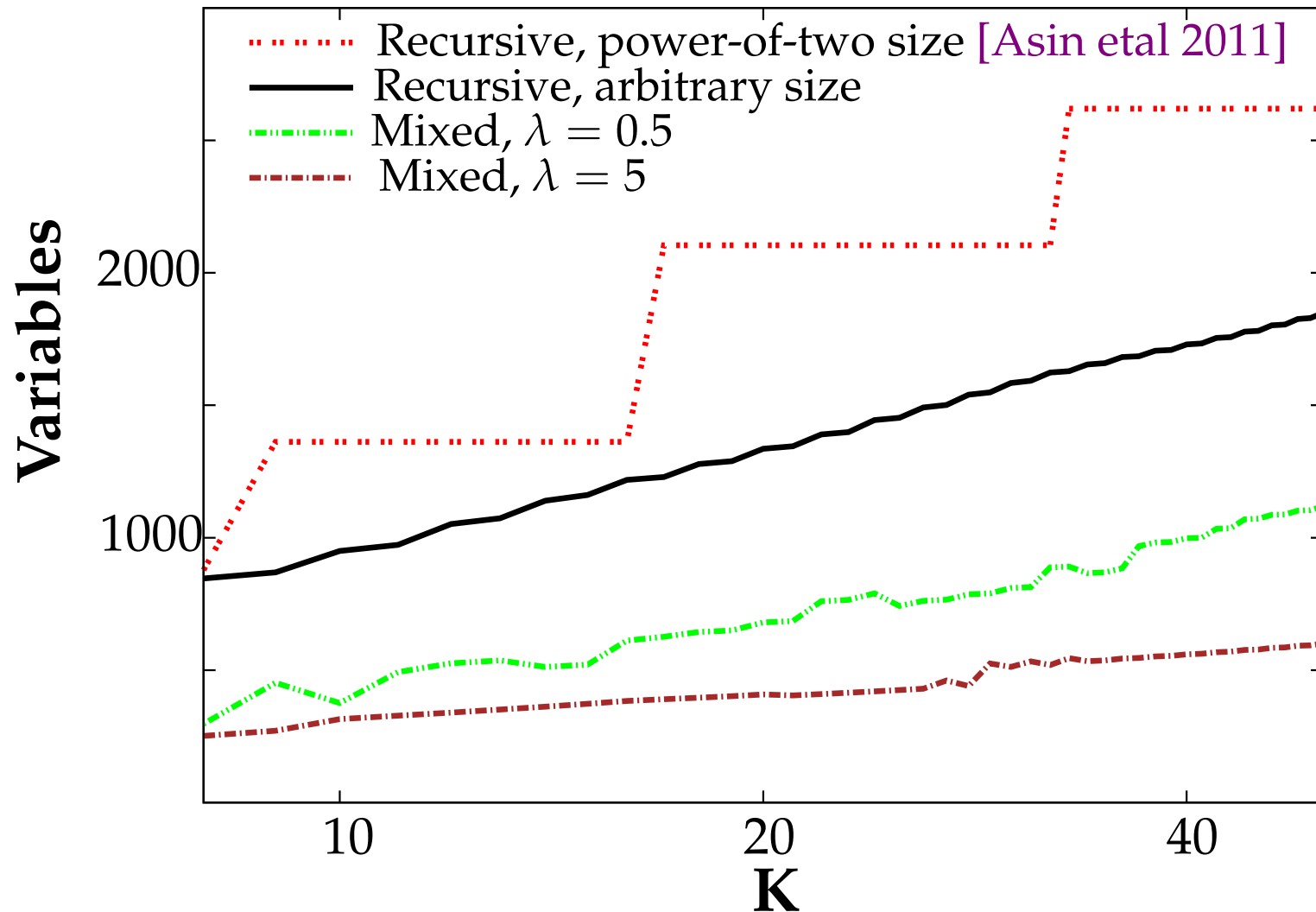
- We first remove the power-of-two restriction of our Cardinality networks of [Asin et al 2011]. This already has a significant impact (see below).
- The work done at encoding time (dynamic programming) is negligible wrt. runtime.
- Some recursive cases not split into halves, but differently!

## Experiments:

- We first compare wrt. number of variables and clauses, only with [Asin et al 2011]: known to be in general better than other previous approaches
- For SAT solver runtime (Lingeling, [Biere]), we also compare Adder [ES 06], BDD [BBR 06], and with our SMT approach.

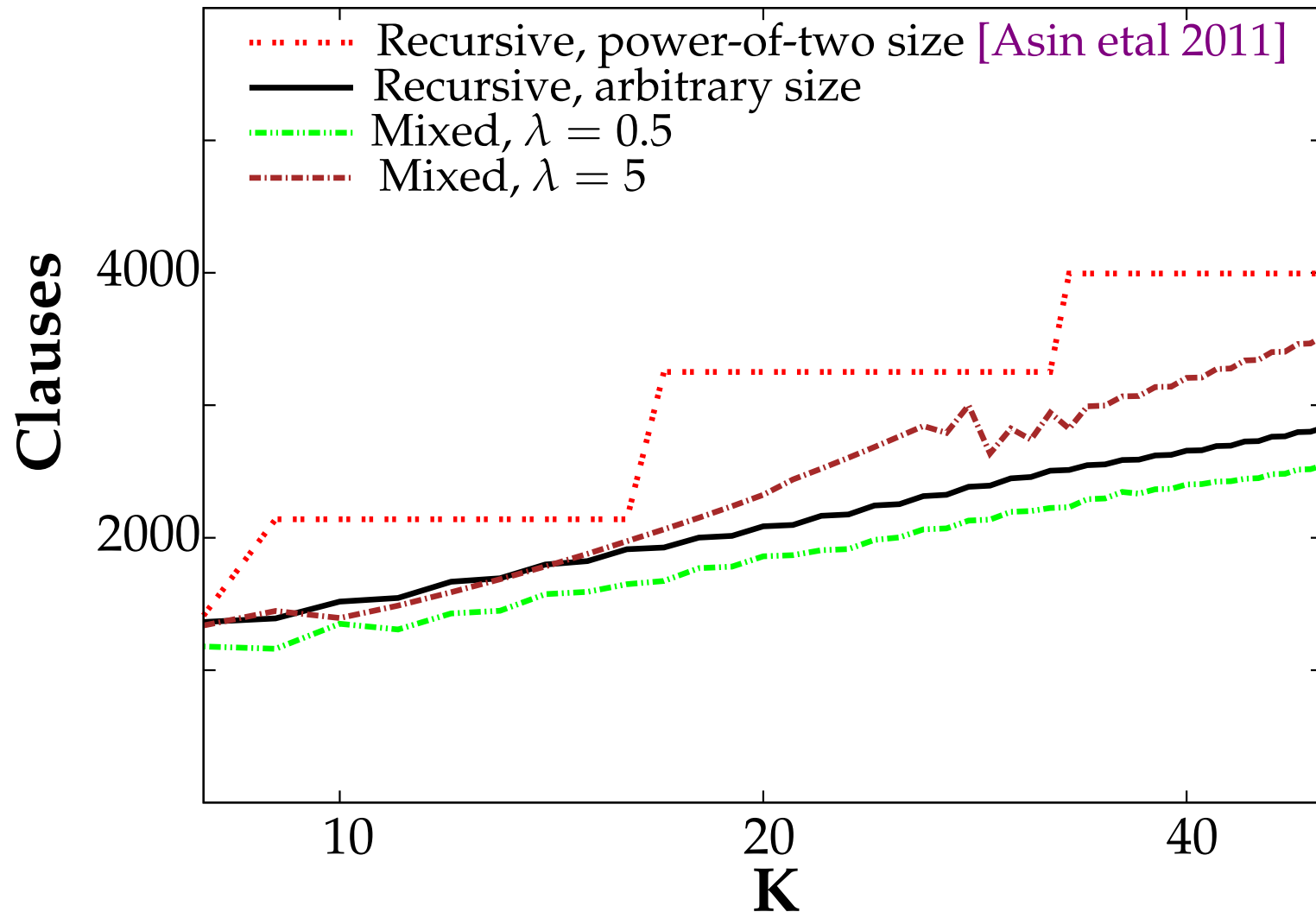
# Experimental Results (Variables)

For  $1 \leq K \leq 50$  and  $n = 100$  (this is representative):



# Experimental Results (Clauses)

For  $1 \leq K \leq 50$  and  $n = 100$  (this is representative):



# Experimental Results (SAT Solving times)

MSU4 Suite: 6496 instances taking  $>5s$  (see paper for other suites).  
Lingeling, TO 600s.

	# insts. w/ Speed-up of Mixed					$\approx$	# insts. w/ Slow-down of Mixed				
	Speed-up factor:						Slow-down factor:				
	$\infty$	$>4$	$>2$	$>1.5$	total		total	$>1.5$	$>2$	$>4$	$\infty$
<b>Power-of-two CN</b>	43	732	2957	1278	5010	1438	48	1	23	13	11
<b>Arbitrary-sized CN</b>	10	149	544	726	1429	4835	232	3	106	43	80
<b>Adder</b>	985	1207	1038	1250	4480	1927	89	0	13	36	40
<b>BDD</b>	187	1139	1795	1292	4413	2002	81	4	10	31	36
<b>SMT</b>	1143	323	102	53	1621	3184	1691	0	1417	211	63

What does this mean? Some examples:

- in **187** instances Mixed did not time out but BDD did
- in **1139** instances Mixed was more than 4 times faster than BDD
- in **36** instances Mixed timed out but BDD did not

# Concluding remarks

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This kind of pragmatic work has a big impact in practice  
(Barcelogic.com)

Can do a lot of work at encoding time!

Divide and Conquer: even more expensive search at encoding time  
could pay off to find the best encoding for a given constraint

Pseudo-Boolean constraints:  $a_1x_1 + \dots + a_nx_n \leq K$ :

- Similar ideas mixing direct encodings and recursive ones
- Explore shared encoding of several constraints together

Build database of encodings for certain frequent constraints?

Thank you!