

Solving QBF with Free Variables

Will Klieber, Mikoláš Janota,
Joao Marques-Silva, Edmund Clarke

Sep 17, 2013



Quantified Boolean Formulas (QBF)

- ▶ Extension of propositional logic. Grammar:

$x ::=$ boolean variable

$Q ::= \exists \mid \forall$

$\Phi ::= x \mid Qx. \Phi \mid \Phi \wedge \Phi \mid \Phi \vee \Phi \mid \neg \Phi \mid \text{True} \mid \text{False}$

Quantified Boolean Formulas (QBF)

- ▶ Extension of propositional logic. Grammar:

$x ::=$ boolean variable

$Q ::= \exists \mid \forall$

$\Phi ::= x \mid Qx. \Phi \mid \Phi \wedge \Phi \mid \Phi \vee \Phi \mid \neg \Phi \mid \text{True} \mid \text{False}$

- ▶ No variable may be quantified more than once.
- ▶ No variable may occur both free and bound.

Quantified Boolean Formulas (QBF)

- ▶ Extension of propositional logic. Grammar:

$x ::=$ boolean variable

$Q ::= \exists \mid \forall$

$\Phi ::= x \mid Qx. \Phi \mid \Phi \wedge \Phi \mid \Phi \vee \Phi \mid \neg\Phi \mid \text{True} \mid \text{False}$

- ▶ No variable may be quantified more than once.
- ▶ No variable may occur both free and bound.
- ▶ Semantics:

- ▶ $\forall x. \Phi = \Phi|_{x=\text{True}} \wedge \Phi|_{x=\text{False}}$

- ▶ $\exists x. \Phi = \Phi|_{x=\text{True}} \vee \Phi|_{x=\text{False}}$

Open QBF

- ▶ Closed QBF: All variables quantified; answer is True or False.
- ▶ Open QBF: Contains free (unquantified) variables.
- ▶ Goal: Find equivalent propositional formula.
- ▶ E.g., given $\exists x. x \wedge (y \vee z)$, return $y \vee z$.

Open QBF

- ▶ Closed QBF: All variables quantified; answer is True or False.
- ▶ Open QBF: Contains free (unquantified) variables.
- ▶ Goal: Find equivalent propositional formula.
- ▶ E.g., given $\exists x. x \wedge (y \vee z)$, return $y \vee z$.
- ▶ Applications: symbolic model checking, synthesis from formal spec, etc.

Outline

- ▶ Naïve Algorithm
- ▶ Introduce *sequents* that generalize clauses for open QBF (without ghost variables)
- ▶ Experimental results
- ▶ *Ghost variables* (for non-CNF): see paper.

Naïve Algorithm

- ▶ Notation: “ $\text{ite}(x, \phi_1, \phi_2)$ ” is a formula with an *if-then-else*:

$$\text{ite}(x, \phi_1, \phi_2) = (x \wedge \phi_1) \vee (\neg x \wedge \phi_2)$$

Naïve Algorithm

- ▶ Notation: “ $\text{ite}(x, \phi_1, \phi_2)$ ” is a formula with an *if-then-else*:

$$\text{ite}(x, \phi_1, \phi_2) = (x \wedge \phi_1) \vee (\neg x \wedge \phi_2)$$

- ▶ Recursively Shannon-expand on free variables:

$$\Phi = \text{ite}(x, \Phi|_{x=\text{True}}, \Phi|_{x=\text{False}})$$

Naïve Algorithm

- ▶ Notation: “ $\text{ite}(x, \phi_1, \phi_2)$ ” is a formula with an *if-then-else*:

$$\text{ite}(x, \phi_1, \phi_2) = (x \wedge \phi_1) \vee (\neg x \wedge \phi_2)$$

- ▶ Recursively Shannon-expand on free variables:

$$\Phi = \text{ite}(x, \Phi|_{x=\text{True}}, \Phi|_{x=\text{False}})$$

- ▶ Base case (no more free variables): Give to closed-QBF solver.

Naïve Algorithm

```
1.  function solve( $\Phi$ ) {  
2.      if ( $\Phi$  has no free variables)  
3.          return closed_qbf_solve( $\Phi$ );  
  
7.  }
```

Naïve Algorithm

```
1.  function solve( $\Phi$ ) {
2.      if ( $\Phi$  has no free variables)
3.          return closed_qbf_solve( $\Phi$ );
4.       $x :=$  (a free variable in  $\Phi$ );
5.      return ite( $x$ , solve( $\Phi|x=True$ ),
6.                solve( $\Phi|x=False$ ));
7.  }
```

Naïve Algorithm

```
1.  function solve( $\Phi$ ) {
2.      if ( $\Phi$  has no free variables)
3.          return closed_qbf_solve( $\Phi$ );
4.       $x :=$  (a free variable in  $\Phi$ );
5.      return ite( $x$ , solve( $\Phi|x=True$ ),
6.                solve( $\Phi|x=False$ ));
7.  }
```

Builds OBDD if:

1. same branch order,
2. formula construction is memoized, and
3. $\text{ite}(x, \phi, \phi)$ is simplified to ϕ .

Naïve Algorithm

- ▶ Naïve Algorithm:
 - ▶ Similar to DPLL in terms of branching.
 - ▶ But lacks many optimizations that make DPLL fast:
 - ▶ Non-chronological backtracking
 - ▶ Clause learning
- ▶ Our open-QBF technique:
 - ▶ Extend existing closed-QBF algorithm to allow free variables.

Preliminaries

- ▶ **Prenex Form:** $Q_1x_1 \dots Q_nx_n \cdot \phi$ where ϕ has no quantifiers.

Preliminaries

- ▶ **Prenex Form:** $Q_1x_1\dots Q_nx_n.\phi$ where ϕ has no quantifiers.
- ▶ In $\forall x.\exists y.\phi$, we say that y is **downstream** of x .
 - ▶ $\exists y$ occurs inside scope of $\forall x$.

Preliminaries

- ▶ **Prenex Form:** $Q_1x_1 \dots Q_nx_n \cdot \phi$ where ϕ has no quantifiers.
- ▶ In $\forall x. \exists y. \phi$, we say that y is **downstream** of x .
 - ▶ $\exists y$ occurs inside scope of $\forall x$.
- ▶ Free variables are upstream of all quantified variables.

Preliminaries

- ▶ **Prenex Form:** $Q_1x_1\dots Q_nx_n.\phi$ where ϕ has no quantifiers.
- ▶ In $\forall x.\exists y.\phi$, we say that y is **downstream** of x .
 - ▶ $\exists y$ occurs inside scope of $\forall x$.
- ▶ Free variables are upstream of all quantified variables.
- ▶ **Outermost:** Not downstream of any unassigned variables.
 - ▶ E.g.: $\exists e_1.\forall u_2.\phi$ and assignment $\{(e_1, \text{True})\}$:
 u_2 is outermost.

Preliminaries

- ▶ **Prenex Form:** $Q_1x_1 \dots Q_nx_n \cdot \phi$ where ϕ has no quantifiers.
- ▶ In $\forall x. \exists y. \phi$, we say that y is **downstream** of x .
 - ▶ $\exists y$ occurs inside scope of $\forall x$.
- ▶ Free variables are upstream of all quantified variables.
- ▶ **Outermost:** Not downstream of any unassigned variables.
 - ▶ E.g.: $\exists e_1. \forall u_2. \phi$ and assignment $\{(e_1, \text{True})\}$:
 u_2 is outermost.
- ▶ **Substitution:** $\Phi|_{\pi}$ where π is a partial assignment.

Closed QBF as a Game

- ▶ Existential variables are **owned** by Player \exists .
- ▶ Universal variables are **owned** by Player \forall .
- ▶ Players assign variables in quantification order.
- ▶ The **goal** of Player \exists is to make Φ be true.
- ▶ The **goal** of Player \forall is to make Φ be false.



Properties of Clauses and Cubes

- ▶ Motivate definition of sequents.
- ▶ Existential literals $e_1 \dots e_n$ and universal literals $u_1 \dots u_m$.
- ▶ Clause $(\underbrace{e_1 \vee \dots \vee e_n \vee u_1 \vee \dots \vee u_m}_{\text{all false (under } \pi)})$ in CNF Φ_{in}

Properties of Clauses and Cubes

- ▶ Motivate definition of sequents.
- ▶ Existential literals $e_1 \dots e_n$ and universal literals $u_1 \dots u_m$.
- ▶ Clause $(\underbrace{e_1 \vee \dots \vee e_n \vee u_1 \vee \dots \vee u_m}_{\text{all false (under } \pi)})$ in CNF $\underbrace{\Phi_{in}}_{\text{false}} \Rightarrow$ false

Properties of Clauses and Cubes

- ▶ Motivate definition of sequents.
- ▶ Existential literals $e_1 \dots e_n$ and universal literals $u_1 \dots u_m$.
- ▶ Clause $(\underbrace{e_1 \vee \dots \vee e_n}_{\text{all false}} \vee \underbrace{u_1 \vee \dots \vee u_m}_{\text{none true}})$ in CNF $\Phi_{in} \Rightarrow$ false

Properties of Clauses and Cubes

- ▶ Motivate definition of sequents.
- ▶ Existential literals $e_1 \dots e_n$ and universal literals $u_1 \dots u_m$.
- ▶ Clause $(\underbrace{e_1 \vee \dots \vee e_n}_{\text{all false}} \vee \underbrace{u_1 \vee \dots \vee u_m}_{\text{none true}})$ in CNF $\Phi_{in} \Rightarrow$ false
- ▶ Cube $(\underbrace{u_1 \wedge \dots \wedge u_n}_{\text{all true}} \wedge \underbrace{e_1 \wedge \dots \wedge e_m}_{\text{none false}})$ in DNF $\Phi_{in} \Rightarrow$ true

$\langle L^{\text{now}}, L^{\text{fut}} \rangle$ Sequents

- ▶ **Definition.** A **game-state specifier** is a pair $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ consisting of two sets of literals, L^{now} and L^{fut} .

$\langle L^{\text{now}}, L^{\text{fut}} \rangle$ Sequents

- ▶ **Definition.** A **game-state specifier** is a pair $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ consisting of two sets of literals, L^{now} and L^{fut} .
- ▶ **Definition.** We say that $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ **matches** assignment π iff:
 1. every literal in L^{now} evaluates to True under π , and
 2. no literal in L^{fut} evaluates to False under π .

$\langle L^{\text{now}}, L^{\text{fut}} \rangle$ Sequents

- ▶ **Definition.** A **game-state specifier** is a pair $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ consisting of two sets of literals, L^{now} and L^{fut} .
- ▶ **Definition.** We say that $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ **matches** assignment π iff:
 1. every literal in L^{now} evaluates to True under π , and
 2. no literal in L^{fut} evaluates to False under π .
- ▶ E.g., $\langle \{e\}, \{u\} \rangle$ matches $\{(e, \text{True})\}$ and $\{(e, \text{True}), (u, \text{True})\}$,

$\langle L^{\text{now}}, L^{\text{fut}} \rangle$ Sequents

- ▶ **Definition.** A **game-state specifier** is a pair $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ consisting of two sets of literals, L^{now} and L^{fut} .
- ▶ **Definition.** We say that $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ **matches** assignment π iff:
 1. every literal in L^{now} evaluates to True under π , and
 2. no literal in L^{fut} evaluates to False under π .
- ▶ E.g., $\langle \{e\}, \{u\} \rangle$ matches $\{(e, \text{True})\}$ and $\{(e, \text{True}), (u, \text{True})\}$, but does not match $\{\}$ or $\{(e, \text{True}), (u, \text{False})\}$.

$\langle L^{\text{now}}, L^{\text{fut}} \rangle$ Sequents

- ▶ **Definition.** A **game-state specifier** is a pair $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ consisting of two sets of literals, L^{now} and L^{fut} .
- ▶ **Definition.** We say that $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ **matches** assignment π iff:
 1. every literal in L^{now} evaluates to True under π , and
 2. no literal in L^{fut} evaluates to False under π .
- ▶ E.g., $\langle \{e\}, \{u\} \rangle$ matches $\{(e, \text{True})\}$ and $\{(e, \text{True}), (u, \text{True})\}$, but does not match $\{\}$ or $\{(e, \text{True}), (u, \text{False})\}$.
- ▶ $\langle L^{\text{now}}, \{\ell, \neg\ell\} \rangle$ matches π only if π doesn't assign ℓ .

$\langle L^{\text{now}}, L^{\text{fut}} \rangle$ Sequents

- ▶ **Definition.** A **game-state specifier** is a pair $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ consisting of two sets of literals, L^{now} and L^{fut} .
- ▶ **Definition.** We say that $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ **matches** assignment π iff:
 1. every literal in L^{now} evaluates to True under π , and
 2. no literal in L^{fut} evaluates to False under π .
- ▶ **Definition.** “ $\langle L^{\text{now}}, L^{\text{fut}} \rangle \models (\Phi \Leftrightarrow \psi)$ ” means “for all assignments π that match $\langle L^{\text{now}}, L^{\text{fut}} \rangle$, $\Phi|_{\pi}$ is logically equivalent to $\psi|_{\pi}$ unless π is a **don't-care** assignment”.

$\langle L^{\text{now}}, L^{\text{fut}} \rangle$ Sequents

- ▶ **Definition.** A **game-state specifier** is a pair $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ consisting of two sets of literals, L^{now} and L^{fut} .
- ▶ **Definition.** We say that $\langle L^{\text{now}}, L^{\text{fut}} \rangle$ **matches** assignment π iff:
 1. every literal in L^{now} evaluates to True under π , and
 2. no literal in L^{fut} evaluates to False under π .
- ▶ **Definition.** “ $\langle L^{\text{now}}, L^{\text{fut}} \rangle \models (\Phi \Leftrightarrow \psi)$ ” means “for all assignments π that match $\langle L^{\text{now}}, L^{\text{fut}} \rangle$, $\Phi|_{\pi}$ is logically equivalent to $\psi|_{\pi}$ unless π is a **don't-care** assignment”.
- ▶ Without ghost variables: No assignments are don't-care.
- ▶ With ghost variables: See paper for details.

Correspondence of Sequents to Clauses and Cubes

- ▶ Consider a QBF with existential literals $e_1 \dots e_n$ and universal literals $u_1 \dots u_m$.
- ▶ Clause $(e_1 \vee \dots \vee e_n \vee u_1 \vee \dots \vee u_m)$ in CNF Φ_{in} corresponds to sequent $\langle \{\neg e_1, \dots, \neg e_n\}, \{\neg u_1, \dots, \neg u_m\} \rangle \models (\Phi_{in} \Leftrightarrow \text{False})$.

Correspondence of Sequents to Clauses and Cubes

- ▶ Consider a QBF with existential literals $e_1 \dots e_n$ and universal literals $u_1 \dots u_m$.
- ▶ Clause $(e_1 \vee \dots \vee e_n \vee u_1 \vee \dots \vee u_m)$ in CNF Φ_{in} corresponds to sequent $\langle \{\neg e_1, \dots, \neg e_n\}, \{\neg u_1, \dots, \neg u_m\} \rangle \models (\Phi_{in} \Leftrightarrow \text{False})$.
- ▶ Cube $(u_1 \wedge \dots \wedge u_m \wedge e_1 \wedge \dots \wedge e_n)$ in DNF Φ_{in} corresponds to sequent $\langle \{u_1, \dots, u_m\}, \{e_1, \dots, e_n\} \rangle \models (\Phi_{in} \Leftrightarrow \text{True})$.

Correspondence of Sequents to Clauses and Cubes

- ▶ Consider a QBF with existential literals $e_1 \dots e_n$ and universal literals $u_1 \dots u_m$.
- ▶ Clause $(e_1 \vee \dots \vee e_n \vee u_1 \vee \dots \vee u_m)$ in CNF Φ_{in} corresponds to sequent $\langle \{\neg e_1, \dots, \neg e_n\}, \{\neg u_1, \dots, \neg u_m\} \rangle \models (\Phi_{in} \Leftrightarrow \text{False})$.
- ▶ Cube $(u_1 \wedge \dots \wedge u_m \wedge e_1 \wedge \dots \wedge e_n)$ in DNF Φ_{in} corresponds to sequent $\langle \{u_1, \dots, u_m\}, \{e_1, \dots, e_n\} \rangle \models (\Phi_{in} \Leftrightarrow \text{True})$.
- ▶ Sequents generalize clauses/cubes because $\langle L^{\text{now}}, L^{\text{fut}} \rangle \models (\Phi \Leftrightarrow \psi)$ can have ψ be a formula in terms of free variables.

Inference rule for free variable

Literal r is free

$$\langle L_1^{\text{now}} \cup \{r\}, L_1^{\text{fut}} \rangle \models (\Phi_{in} \Leftrightarrow \psi_1)$$

$$\langle L_2^{\text{now}} \cup \{\neg r\}, L_2^{\text{fut}} \rangle \models (\Phi_{in} \Leftrightarrow \psi_2)$$

$$\langle L_1^{\text{now}} \cup L_2^{\text{now}}, L_1^{\text{fut}} \cup L_2^{\text{fut}} \cup \{r, \neg r\} \rangle \models (\Phi_{in} \Leftrightarrow \text{ite}(r, \psi_1, \psi_2))$$

Top-level algorithm (based on DPLL)

1. `initialize_sequent_database();`
2. `$\pi_{cur} := \emptyset$; Propagate();`
3. `while (true) {`

12. `}`

Top-level algorithm (based on DPLL)

```
1. initialize_sequent_database();
2.  $\pi_{cur} := \emptyset$ ; Propagate();
3. while (true) {
4.     while ( $\pi_{cur}$  doesn't match any database sequent) {
5.         DecideLit();
6.         Propagate();
7.     }
12. }
```

Top-level algorithm (based on DPLL)

```
1. initialize_sequent_database();
2.  $\pi_{cur} := \emptyset$ ; Propagate();
3. while (true) {
4.   while ( $\pi_{cur}$  doesn't match any database sequent) {
5.     DecideLit();
6.     Propagate();
7.   }
8.   Learn();
9.   if (learned seq has form  $\langle \emptyset, L^{fut} \rangle \models (\Phi_{in} \Leftrightarrow \psi)$ ) return  $\psi$ ;
10.  Backtrack();
11.  Propagate();
12. }
```

Propagation

- ▶ Let seq be a sequent $\langle L^{now}, L^{fut} \rangle \models (\Phi_{in} \Leftrightarrow \psi)$ in database.
- ▶ If there is a literal $l \in L^{now}$ such that
 1. $\pi_{cur} \cup \{l\}$ matches seq , and
 2. l is not downstream of any unassigned literals in L^{fut} ,then $\neg l$ is *forced*; it is added to the current assignment π_{cur} .

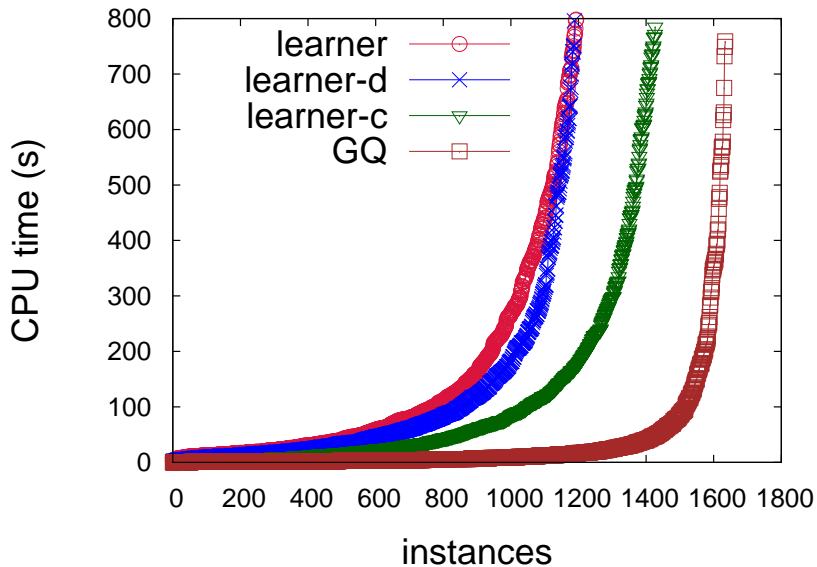
Propagation

- ▶ Let seq be a sequent $\langle L^{now}, L^{fut} \rangle \models (\Phi_{in} \Leftrightarrow \psi)$ in database.
- ▶ If there is a literal $\ell \in L^{now}$ such that
 1. $\pi_{cur} \cup \{\ell\}$ matches seq , and
 2. ℓ is not downstream of any unassigned literals in L^{fut} ,then $\neg\ell$ is *forced*; it is added to the current assignment π_{cur} .
- ▶ Propagation ensures that the solver never re-explores areas of the search space for which it already knows the answer.

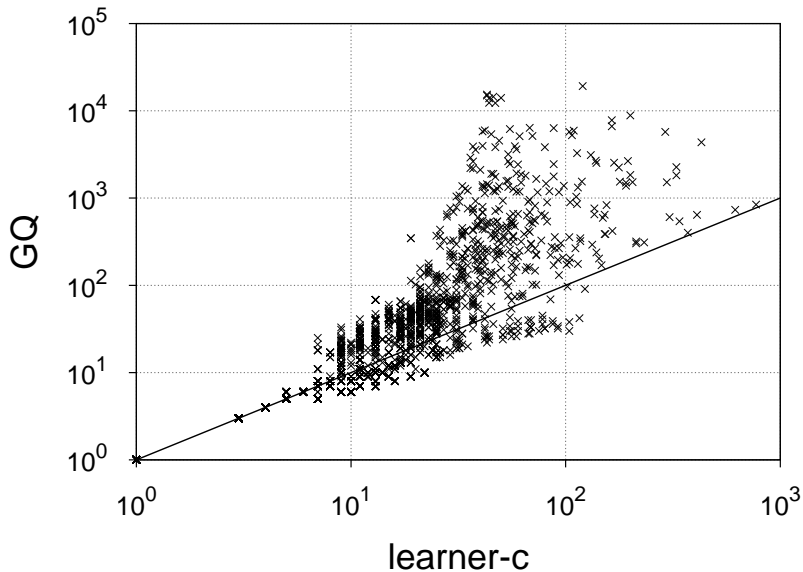
Experimental Comparison

- ▶ Our solver: GhostQ.
- ▶ Compared to computational-learning solver from:
B. Becker, R. Ehlers, M. Lewis, and P. Marin,
“ALLQBF solving by computational learning” (ATVA 2012).
- ▶ Benchmarks (from same paper): synthesis from formal specifications.
- ▶ HWMCC'10 Benchmarks: One-step forward reachability.

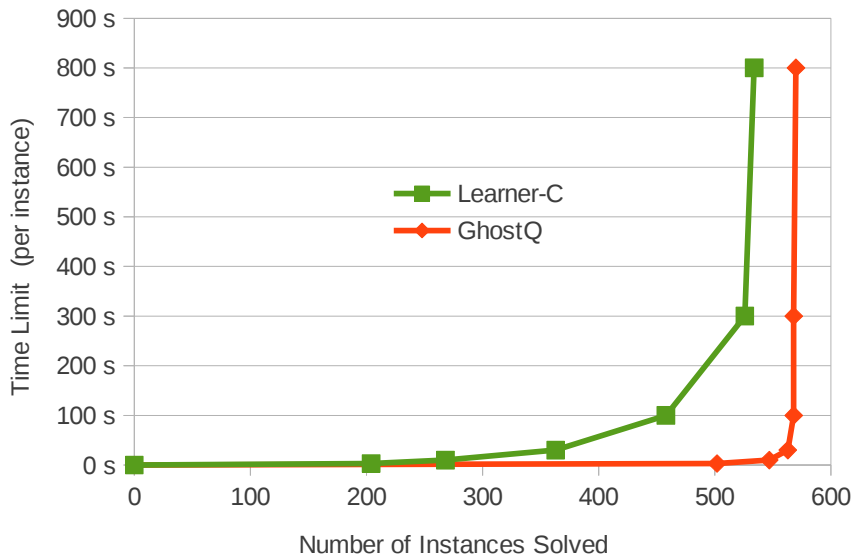
Cactus Plot



Formula Size



HWMCC'10 Benchmarks: Cactus Plot



Conclusion

- ▶ DPLL-based solver for open QBF.
- ▶ Sequents generalize clauses and cubes.
- ▶ Generates proof certificates.
- ▶ Our solver produces **unordered** BDDs.
 - ▶ Unordered because of unit propagation.
 - ▶ In our experience, often larger than OBDDs.